

11-18-04

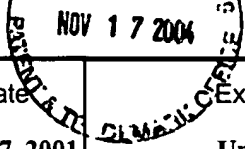
2661

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.81

In Re Application Of: **Hijin Sato et al.**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/964,904	September 27, 2001	Unknown	022913	2661	3227

Title: **CELL CONTROL METHOD AND CELL SYSTEM**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
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## 37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: November 17<sup>th</sup>, 2004

**Adrian J. Lee**

**Reg. No.: 42,785**

**Attorney for Applicant**

CC:

NOV 17 2004

Docket No: 15689.81

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Art Unit  
2661

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

**1**

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED November 17, 2004.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'AJL' with a stylized flourish.

ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No.: 022913

AJL: ds  
W:\15689\81\DS0000002772V001.doc

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

15689.81

Application Number

09/964,904

Applicant(s)

Hijin Sato et al.

Filing Date

September 27, 2001

Group Art Unit

2661

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,528,247	6/18/1996	Nonami	342	357	6/7/1994

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	2	Austrian Patent Office Service and Information Sector (TRF) Search Report Singapore Application 200105890-8

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Hijin Sato et al.**

Docket No.

**15689.81**

Application No.

**09/964,904**

Filing Date

**September 27, 2004**

Examiner

**Unknown**

Customer No.

**022913**

Group Art Unit

**2661**Invention: **CELL CONTROL METHOD AND CELL SYSTEM**

I hereby certify that the following correspondence:

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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**Adrian J. Lee**

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Transmittal for Information Disclosure Statement (2 pages; duplicate);  
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);  
Form PTO-1449 Listing of References (1 page);  
Legible Copy of References; (2 references)  
Certificate of Express Mail (EV485810515US);  
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